

08-22-01

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2764

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)
155⁰⁰**Complete if Known**

Application Number

09/370,935

Filing Date

9/9/99

First Named Inventor

H. Serchi

Examiner Name

Y. Raha

Group Art Unit

2764

Attorney Docket No.

990809

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AUG 27 2001

Technology Center 2100

METHOD OF PAYMENT

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
NumberDeposit
Account
Name☐ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status.
See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$) Code (\$)

| Code | Large Entity Fee (\$) | Small Entity Fee (\$) | Description |
|------|-----------------------|-----------------------|---------------------------|
| 101 | 710 | 201 | 355 Utility filing fee |
| 106 | 320 | 206 | 160 Design filing fee |
| 107 | 490 | 207 | 245 Plant filing fee |
| 108 | 710 | 208 | 355 Reissue filing fee |
| 114 | 150 | 214 | 75 Provisional filing fee |

Fee Paid

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|---------------------------|--------------|----------------|----------|
| Independent Claims | -20** = | X | |
| Multiple Dependent Claims | -3** = | X | |

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$) Code (\$)

| Code | Large Entity Fee (\$) | Small Entity Fee (\$) | Description |
|------|-----------------------|-----------------------|--|
| 103 | 18 | 203 | 9 Claims in excess of 20 |
| 102 | 80 | 202 | 40 Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$) Code (\$)

| Code | Large Entity Fee (\$) | Small Entity Fee (\$) | Description |
|------|-----------------------|-----------------------|---|
| 105 | 130 | 205 | 65 Surcharge - late filing fee or oath |
| 127 | 50 | 227 | 25 Surcharge - late provisional filing fee or cover sheet |
| 139 | 130 | 139 | 130 Non-English specification |
| 147 | 2,520 | 147 | 2,520 For filing a request for ex parte reexamination |
| 112 | 920* | 112 | 920* Requesting publication of SIR prior to Examiner action |
| 113 | 1,840* | 113 | 1,840* Requesting publication of SIR after Examiner action |
| 115 | 110 | 215 | 55 Extension for reply within first month |
| 116 | 390 | 216 | 195 Extension for reply within second month |
| 117 | 890 | 217 | 445 Extension for reply within third month |
| 118 | 1,390 | 218 | 695 Extension for reply within fourth month |
| 128 | 1,890 | 228 | 945 Extension for reply within fifth month |
| 119 | 310 | 219 | 155 Notice of Appeal |
| 120 | 310 | 220 | 155 Filing a brief in support of an appeal |
| 121 | 270 | 221 | 135 Request for oral hearing |
| 138 | 1,510 | 138 | 1,510 Petition to institute a public use proceeding |
| 140 | 110 | 240 | 55 Petition to revive - unavoidable |
| 141 | 1,240 | 241 | 620 Petition to revive - unintentional |
| 142 | 1,240 | 242 | 620 Utility issue fee (or reissue) |
| 143 | 440 | 243 | 220 Design issue fee |
| 144 | 600 | 244 | 300 Plant issue fee |
| 122 | 130 | 122 | 130 Petitions to the Commissioner |
| 123 | 50 | 123 | 50 Processing fee under 37 CFR 1.17(q) |
| 126 | 180 | 126 | 180 Submission of Information Disclosure Stmt |
| 581 | 40 | 581 | 40 Recording each patent assignment per property (times number of properties) |
| 146 | 710 | 246 | 355 Filing a submission after final rejection (37 CFR § 1.129(a)) |
| 149 | 710 | 249 | 355 For each additional invention to be examined (37 CFR § 1.129(b)) |
| 179 | 710 | 279 | 355 Request for Continued Examination (RCE) |
| 169 | 900 | 169 | 900 Request for expedited examination of a design application |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

155

SUBMITTED BY

Name (Print/Type)

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(Attorney/Agent)

29,211

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202-857-8925

Signature

Carl Schankowitz

Date

8/21/01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
In re the Application of:

H. SERETTI et al. Group Art Unit: 2764
Application No: 09/370,935 Examiner: Y. Retta
Filed: August 9, 1999 Atty Docket No.: 990809

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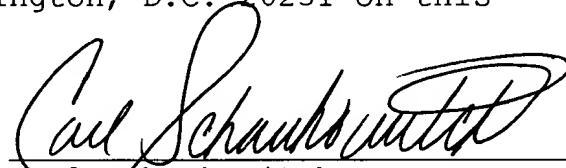
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CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10

I hereby certify that the following document(s) listed below is/are being deposited with the United States Postal Service as Express Mail numbered EK587227211US and enclosed in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on this

date: August 21, 2001


Carl Schaukowitch

Enclosed document(s):

Three (3) Briefs on Appeal for application no. 09/370,935
with Appendices A - C
One (1) Fee Transmittal
Check #615 in the amount of \$155.00
Postcard Receipt